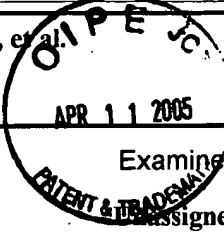*IFW*

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))	Docket No. YOR920020048US1

In Re Application Of: **Michael J. Hind, et al.**

APR 1 1 2005

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/635,199	August 6, 2003	Unassigned	23389	Unassigned	8332

Title: **PROFILE NORMALIZATION IN AN AUTONOMIC SOFTWARE SYSTEM**

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

    ☐ the statement specified in 37 CFR 1.97(e);

       OR

    ☐ the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))	Docket No. YOR920020048US1

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Title: **PROFILE NORMALIZATION IN AN AUTONOMIC SOFTWARE SYSTEM**

Payment of Fee
(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

☐ A check in the amount of   is attached.

☒ The Director is hereby authorized to charge and credit Deposit Account No. **50-0510/IBM** as described below.

    ☐ Charge the amount of

    ☒ Credit any overpayment.

    ☒ Charge any additional fee required.

☐ Payment by credit card. Form PTO-2038 is attached.

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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April 7, 2005
(Date)

John S Sensny
Signature of Person Mailing Correspondence

John S. Sensny

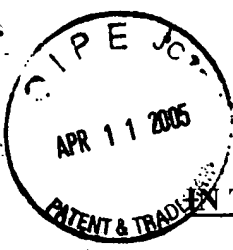Typed or Printed Name of Person Mailing Certificate

John S Sensny
Signature

John S. Sensny
Registration No. 28,757

Scully, Scott, Murphy & Presser
400 Garden City Plaza - Suite 300
Garden City, New York 11530
(516) 742-4343

Dated: **April 7, 2005**

cc: JSS:jy

Applicants: Michael J. Hind, et al.	Examiner: Unassigned
Serial No.: 10/635,199	Art Unit: Unassigned
Filed: August 6, 2003	Docket: YOR920020048US1 (15282)
For: PROFILE NORMALIZATION IN AN AN AUTONOMIC SOFTWARE SYSTEM	Dated: April 7, 2005

Confirmation No.: 8332

Commissioner for Patents
P. O. Box 1450
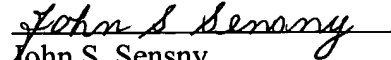Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R §§1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. "Online Profiling and Feedback-Directed Optimization of Java", by Matthew Arnold, New Brunswick, New Jersey, October 2002;

2. "Online Feedback-Directed Optimization of Java", by Matthew Arnold, et al., 2002; Pages 111 to 129; and

3. "Adaptive Optimization in the Jalapeño JVM", by Matthew Arnold, et al., 2000; Pages 47 to 65.

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

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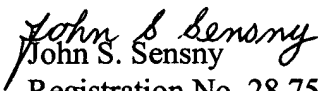
Dated: April 7, 2005

John S. Sensny
John S. Sensny

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The references were cited in an International Search Report, dated 03/08/2004, received from the European Patent Office. Applicants are submitting copies of the above-cited references, together with a copy of the Search Report. The relevance of the above-identified references has been described in the Search Report.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R.§ 1.97(b), no statement or fee is required.

Respectfully submitted,

John S. Sensny
Registration No. 28,757
Attorney for Applicants

Scully, Scott, Murphy & Presser
400 Garden City Plaza – Suite 300
Garden City, New York 11530
(516) 742-4343

JSS:jy
Enclosures: PTO Form-1449;
             International Search Report; and
             Copy of the three (3) references cited.

The form has a header section, then U.S. PATENT DOCUMENTS table with AA-AI rows (all empty), then a foreign documents table (all empty), then OTHER PRIOR ART section with three entries.| Form PTO-1449 | U.S. DEPARTMENT OF COMMERCE | Atty. Docket No. | Serial No. |
| (REV. 7-80)PATENT AND TRADEMARK OFFICE | | YOR920020048US1 (15282) | 10/635,199 |

LIST OF REFERENCES CITED BY APPLICANT

(Use several sheets if necessary)

Applicant	
Michael J. Hind, et al.	
Filing Date August 6, 2003	**Group** Unassigned

U.S. PATENT DOCUMENTS

EXAMINER INITIAL*		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (if appropriate)
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						

		Foreign Document Number	Date	Country	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

		"Online Profiling and Feedback-Directed Optimization of Java", by Matthew Arnold, New Brunswick, New Jersey, October 2002;
		"Online Feedback-Directed Optimization of Java", by Matthew Arnold, et al., 2002; Pages 111 to 129; and
		"Adaptive Optimization in the Jalapeño JVM", by Matthew Arnold, et al., 2000; Pages 47 to 65.

EXAMINER	DATE CONSIDERED

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Sheet 1 of 1